

## Solutions to Problem Set 6

- 9.6.6  $\mathcal{C}_1$  can pick out  $O(n^{V_1-1})$  subsets from  $\mathcal{X}_1$ , then  $\mathcal{C}_1 \cup \mathcal{X}_2$  can pick out  $O(n^{V_1-1})$  from  $\mathcal{X}_1 \cup \mathcal{X}_2$ , similarly,  $\mathcal{C}_2 \cup \mathcal{X}_1$  can pick out  $O(n^{V_2-1})$  subsets from  $\mathcal{X}_1 \cup \mathcal{X}_2$   
 $\Rightarrow (\mathcal{C}_1 \cup \mathcal{X}_2) \cap (\mathcal{C}_2 \cup \mathcal{X}_1) = (\mathcal{C}_1 \cap \mathcal{C}_2) \cup (\mathcal{X}_2 \cap \mathcal{X}_1)$  can pick out  $O(n^{V_1+V_2-1})$  subsets from  $\mathcal{X}_1 \cup \mathcal{X}_2$ ;

$$\begin{aligned}
 [(\mathcal{C}_1 \cup \mathcal{C}_2) \cap (\mathcal{X}_2 \cup \mathcal{X}_1)]^c &= (\mathcal{C}_1 \cup \mathcal{C}_2)^c \cup (\mathcal{X}_2 \cup \mathcal{X}_1)^c \\
 &= (\mathcal{C}_1^c \cap \mathcal{C}_2^c) \cup (\mathcal{X}_1^c \cap \mathcal{X}_2^c) \\
 &= (\mathcal{C}_1^c \cap \mathcal{C}_2^c) \cup (\mathcal{X}_2 \cap \mathcal{X}_1) \\
 &= (\mathcal{C}_1^c \cup \mathcal{X}_2) \cap (\mathcal{C}_2^c \cup \mathcal{X}_1) \\
 &= (\mathcal{C}_1^c \cap \mathcal{C}_2^c) \cup (\mathcal{X}_2 \cap \mathcal{X}_1)
 \end{aligned}$$

- $V(\mathcal{C}_1^c) = V(\mathcal{C}_1), V(\mathcal{C}_2^c) = V(\mathcal{C}_2)$ ,  
 $\Rightarrow [(\mathcal{C}_1 \cup \mathcal{C}_2) \cap (\mathcal{X}_2 \cup \mathcal{X}_1)]^c$  can pick out  $O(n^{V_1+V_2-1})$  subsets from  $\mathcal{X}_1 \cup \mathcal{X}_2$ ,  
and since  $V[(\mathcal{C}_1 \cup \mathcal{C}_2) \cap (\mathcal{X}_2 \cup \mathcal{X}_1)] = V[(\mathcal{C}_1 \cup \mathcal{C}_2) \cap (\mathcal{X}_2 \cup \mathcal{X}_1)]^c$   
 $\Rightarrow (\mathcal{C}_1 \cup \mathcal{C}_2) \cap (\mathcal{X}_2 \cup \mathcal{X}_1)$  can pick out  $O(n^{V_1+V_2-1})$  subsets from  $\mathcal{X}_1 \cup \mathcal{X}_2$ .  
 $\Rightarrow \mathcal{C}_1 \sqcup \mathcal{C}_2$  is VC on  $\mathcal{X}_1 \cup \mathcal{X}_2$  with VC index  $V_1 + V_2 - 1$ .  
 $\Rightarrow$  Similarly, we can get  $\mathcal{C}_1 \sqcup \mathcal{C}_2 \sqcup \mathcal{C}_3$  is VC on  $\mathcal{X}_1 \cup \mathcal{X}_2 \cup \mathcal{X}_3$  with VC index  $V_1 + V_2 + V_3 - 2$ , as this goes on, finally,  
 $\Rightarrow \sqcup_{i=1}^m \mathcal{C}_i$  is a VC in  $\mathcal{X}_1 \cup \mathcal{X}_2$  with VC index  $V_1 + V_2 + \dots + V_m - m + 1$ .

- 9.6.10 Fix  $\epsilon > 0$  let  $n$  be the smallest integer  $\geq \frac{3}{\epsilon}$ . For any  $p = (k_0, \dots, k_n) \in P \equiv \{1, \dots, n\}^{n+1}$ , define the path  $\bar{p}$  to be the collection of all function in  $\mathcal{F}$  such that  $f \in \bar{p}$  only if  $f(\frac{i}{n}) \in [\frac{k_i-1}{n}, \frac{k_i}{n}]$  for all  $i=0 \dots n$ . Since  $\|f(x) - f(y)\| \leq |x - y|$ , then if  $f(\frac{i}{n}) \in [\frac{j}{n}, \frac{j+1}{n}]$ , that is,  $\frac{j}{n} \leq f(\frac{i}{n}) \leq \frac{j+1}{n}$   
 $\Rightarrow$

$$f\left(\frac{i}{n}\right) - \left(\frac{i+1}{n} - \frac{i}{n}\right) \leq f\left(\frac{i+1}{n}\right) \leq f\left(\frac{i}{n}\right) + \left(\frac{i+1}{n} - \frac{i}{n}\right) \text{ i.e. } \frac{j-1}{n} \leq f\left(\frac{i+1}{n}\right) \leq \frac{j+1}{n}$$

Since  $f : [0, 1] \rightarrow [0, 1]$ , then

$$f \left[ \frac{i+1}{n} \right] \in \left[ \frac{(j-1) \vee 0}{n}, \frac{(j+2) \wedge n}{n} \right].$$

Therefore, to include all elements of  $\mathcal{F}$ , if  $f(\frac{0}{n}) \in [0, 1]$ , then  $f(\frac{0}{n}) \in [\frac{k_0-1}{n}, \frac{k_0}{n}] \subset [0, 1]$ ,  $k_0$  has  $n$  choices; since  $f(\frac{1}{n}) \in [\frac{k_0-2}{n}, \frac{k_0+1}{n}]$  and  $f(\frac{1}{n}) \in [\frac{k_1-1}{n}, k_1n]$ , then there exist at most three choices of  $k_1$ , and then similarly, we have at most three choices on  $k_2$  if given  $k_0$  and  $k_1$ .  
 $\Rightarrow$  At most  $n \cdot 3^n$  paths are needed to include all elements of  $\mathcal{F}$ .

For each path  $\bar{p}$ ,  $p = (k_0, \dots, k_n)$ , if  $x \in [\frac{i}{n}, \frac{i+1}{n}]$  we define:

$$\begin{aligned} L_p(x) &= \frac{k_i - 2}{n} \vee 0 \\ U_p(x) &= \frac{k_i + 1}{n} \wedge 1 \end{aligned}$$

$L_p(x), U_p(x)$  are right continuous, and  $0 \leq L_p(x) \leq U_p(x) \leq 1, U_p(x) = L_p(x) \leq \frac{3}{n} \leq \epsilon$ .

Actually:

if  $x \in [\frac{i}{n}, \frac{i+1}{n}], f(\frac{1}{n}) \in [\frac{k_0-2}{n}, \frac{k_0+1}{n}], f(\frac{i}{n}) \in [\frac{k_i-1}{n}, \frac{k_i}{n}] \subset [L_p(x), U_p(x)]$ , then

$$|f(x) - f(\frac{i}{n})| \leq |x - \frac{i}{n}| \leq \frac{1}{n} \Rightarrow f(x) \in [\frac{k_i-2}{n}, \frac{k_i+1}{n}] \subset [L_p(x), U_p(x)]$$

since  $n \leq \frac{3}{\epsilon}$ , then

$$\begin{aligned} n \cdot 3^n &< \left(1 + \frac{3}{\epsilon}\right) \exp \left\{ \left(1 + \frac{3}{\epsilon} \log 3\right) \right\} \\ &= \exp \left\{ \log \left(1 + \frac{3}{\epsilon}\right) + \left(1 + \frac{3}{\epsilon} \log 3\right) \right\} \\ &< \exp \left\{ \frac{3}{\epsilon} + \log 3 + 3 \log 3 \cdot \frac{1}{\epsilon} \right\} \\ &< \exp \left( c \cdot \frac{1}{\epsilon} \right) \text{ for some } c \end{aligned}$$