

# Bayesian QTL Mapping

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# Bayesian QTL Mapping

- One gene one trait
  - very unlikely
- Most traits have a significant environmental exposure component
- The vast majority of biological traits are caused by complex polygenic interactions
  - also context dependent

# Bayesian QTL Mapping

- Single QTL Mapping

- Single marker analysis (Sax, 1923 Genetics)
- Interval mapping: Lander & Botstein (1989, Genetics)

## Multiple QTL mapping

- Composite interval mapping (Zeng 1993 PNAS, 1994 Genetics; Jansen & Stam, 1994 Genetics)
- Multiple interval mapping (Kao et al., 1999 Genetics)
- Bayesian analysis (Satagopan et al., 1997 Genetics)

# Bayesian QTL Mapping

- Most complicated traits are caused by multiple (potentially interacting) genes, which also interact with environment stimuli
- Single QTL interval mapping
  - Ghost QTL (Lander & Botstein 1989)
  - Low power

# Bayesian QTL Mapping

- Bayesian methods (Stephens and Fisch 1998 Biometrics; Sillanpaa and Arjas 1998 Genetics; Yi and Xu 2002 Genetic Research, and Yi et al. 2003 Genetics): treat the number of QTLs as a parameter by using reversible jump Markov chain Monte Carlo (MCMC) of Green (1995 Biometrika)
  - change of dimensionality, the acceptance probability for such dimension change, which in practice, may not be handled correctly (Ven 2004 Genetics)

# Bayesian QTL Mapping

- Alternative, multiple QTL mapping can be viewed as a variable selection problem
  - Forward and step-wise selection procedures (Broman and Speed 2002 JRSSB)
  - LASSO, etc
  - Bayesian QTL mapping
    - Xu (2003 Genetics), Wang et al (2005 Genetics) Huang et al (2007 Genetics): Bayesian shrinkage
    - Yi et al (2003 Genetics): stochastic search variable selection (SSVS) of George and McCulloch (1993 JASA)
    - Yi (2004 Genetics): composite model space of Godsill (2001 J. Comp. Graph. Stat)
    - Software: R/qtlbim by Yi's group

# Bayesian QTL Mapping

- Limitations of existing QTL mapping methods
  - do not model covariates at all or only model covariate effect linearly
  - do not model interactions at all or model only lower order interactions, such as two way interactions

# Bayesian QTL Mapping

- The multiple QTL mapping is a very large variable selection problem: for  $p$  potential genes, with  $p$  being in the hundreds or thousands, there are  $2^p$  possible main effect models,  $2 \binom{p}{2}$  possible two-way interactions and  $2 \binom{p}{k}$  possible higher order ( $k > 2$ ) interactions.



# Semiparametric QTL Mapping: Non-linear Covariate Effect

- Goal: allow arbitrary covariate effect in QTL Mapping model
- Semiparametric model:  
$$y_i = \sum_j \beta_j x_{ij} + \eta(t_i) + e_i, \quad i = 1, \dots, n \quad \text{with } e_i \sim N(0, \sigma^2)$$
- Function  $\eta$  is unspecified and  $t_i$  is a scalar or a vector of covariates
- Define  $\tilde{\mathbf{x}}_j = (x_{1j}, \dots, x_{nj})'$  and  $\mathbf{x}_i = (x_{i1}, \dots, x_{ip})'$

## Existing Semi/non-parametric Methods

- Dirichlet process (Muller et al. 1996)
- Splines (Smith and Kohn 1996; Denison et al. 1998 and DiMatteo et al. 2001)
- Wavelets (Abramovich et al. 1998 JRSSB)
- Kernel models (Feng et al 2007)
- Gaussian process (Neal 1997; 1996)
  - Gaussian process priors have a large support in the space of all smooth functions through an appropriate choice of covariance kernel.
  - Gaussian process is flexible for curve estimation because of their flexible sample path shapes
  - Gaussian process related to smoothing spline somehow (Wahba 1978 JRSSB)

# Semiparametric QTL Mapping: Non-linear Covariate Effect

- Model

$$y_i = \sum_j \beta_j x_{ij} + \eta(t_i) + e_i, \quad i = 1, \dots, n \quad \text{with } e_i \sim N(0, \sigma^2)$$

- The unobserved variables are: function  $\eta$ , error variance  $\sigma^2$  and the QTL effects  $\beta = \{\beta_j\}$

## Gaussian Process Prior on $\eta$

- A Gaussian process where all possible finite dimensional distributions  $\boldsymbol{\eta} = (\eta(t_1), \dots, \eta(t_n))'$  follow a multivariate normal with  $E(\eta(t_i)) = \mu(t_i)$  and  $\text{cov}(\eta(t_i), \eta(t_j)) = \sigma(t_i, t_j)$  where
$$\mu(t; \boldsymbol{\alpha}) = \alpha_1 f_1(t) + \dots + \alpha_l f_l(t) \text{ and}$$
$$\sigma(t_i, t_j) = \frac{1}{\tau} \exp(-\rho(t_i - t_j)^2)$$
- $\tau$  controls the smoothness of  $\eta$ : when  $\tau \rightarrow 0$ , the posterior mean of  $\eta$  almost interpolates the data while centered around the prior mean function if  $\tau \rightarrow \infty$ .

# Prior Specifications

- On  $\beta = \{\beta_j\}$ : follow SSVS idea such that
$$P(\gamma_j = 1) = 1 - P(\gamma_j = 0) = p_j$$
$$\beta_i \mid \gamma_j \sim (1 - \gamma_j)N(0, \sigma_0^2) + r_j N(0, \frac{2}{j} \sigma_0^2)$$
- we also put hyper priors on  $\tau$  and  $\alpha = (\alpha_1, \dots, \alpha_I)$  as
$$\tau \sim \text{inverse-Gamma}(a_\tau, b_\tau) \text{ and } \alpha \sim N(\alpha_0, \Gamma)$$

# Conditional Probability

- Define  $\mathbf{\Sigma} = \tau \text{Var}(\boldsymbol{\eta})$ , i.e., prior of  $\boldsymbol{\eta}$  is  $N(\boldsymbol{\mu}, \mathbf{\Sigma}/\tau)$ .
- Define  $\mathbf{M}$  as a  $k \times l$  matrix with the  $(i, j)$ th element equal to  $\mu_j(t_i)$ .
- Then the conditional posterior distributions are
  - $\beta_j \mid \mathbf{y}, \boldsymbol{\theta}_{-\beta_j} \sim N(\hat{\beta}_j, \frac{\sigma^2}{\mathbf{x}'_j \mathbf{x}_j + \sigma^2 / \sigma_j^2})$  [exercise: find  $\hat{\beta}_j$ ]
  - $p(\gamma_j = 1 \mid \boldsymbol{\theta}_{-\gamma_j}) = \frac{c_j}{c_j + \frac{p_j}{1-p_j} \exp\left\{\frac{\beta_j^2}{2\sigma_1^2} \left(1 - \frac{1}{c_j^2}\right)\right\}}$
  - $\boldsymbol{\eta} \mid \mathbf{y}, \boldsymbol{\alpha}, \boldsymbol{\beta}, \tau \sim N(\boldsymbol{\mu}^*, \mathbf{\Sigma}^*)$
  - .....

where  $\boldsymbol{\theta}$  is the vector of all unknown parameters,  $\sigma_j = c_j^{\gamma_j} \sigma_0$ ,  
 $\boldsymbol{\mu}^* = \mathbf{\Sigma}^* \mathbf{D}(\mathbf{U} - \boldsymbol{\mu}) + \boldsymbol{\mu}$ ,  $\mathbf{\Sigma}^* = (\mathbf{D} + \mathbf{\Sigma}^{-1})^{-1}$ ,  
 $\mathbf{D} = \text{diag}(d_1, \dots, d_k) / \sigma^2$  with  $d_j = \#$  of subjects that have  
covariates equal to  $t_j$  and  $\mathbf{U} = \{u_j\}$  with  $u_j =$  average of  
 $y_i - \sum_j \beta_j x_{ij}$  for those samples whose covariate equals  $t_j$ .

# Bayesian Model: Simulation Results

- See lecture4 ppt file

## Semiparametric QTL Mapping II: Multiple Interacting QTLs

- Goal: map multiple potentially interacting QTLs without specifically model all potential main and higher order interaction effects

- Semiparametric model:

$$y_i = \eta(x_{i1}, \dots, x_{ip}) + e_i, \quad i = 1, \dots, n \text{ with } e_i \sim N(0, \sigma^2)$$

- Again function  $\eta$  is unspecified

- very flexible

- $\eta(x_{i1}, \dots, x_{ip}) = x_{i1} + x_{i3}$ , or  $x_{i1}x_{i3}$  or  $x_{i1} + x_{i4}x_{i5}x_{i6}$  .....



## Semiparametric QTL Mapping II: Multiple Interacting QTLs

- Again Gaussian process prior is placed on  $\eta$  function such that
  - $E(\eta_i) = 0$
  - $cov(\eta_i, \eta_k) = \frac{1}{\tau} \exp[-\sum_j \rho_j (x_{ij} - x_{kj})^2]$  where  $\eta_i = \eta(x_{i1}, \dots, x_{ip})$  and let  $\boldsymbol{\eta} = \{\eta_i\}$ .
- Hyperparameters  $\rho_j$  related to length scales  $\frac{1}{\sqrt{\rho_j}}$  which characterize the distance in that particular direction over which  $\eta$  is expected to vary significantly.
- When  $\rho_j = 0$ ,  $\eta$  is expected to be an essentially constant function of that input variable  $j$ , which is therefore deemed irrelevant (Mackay 1998).

## Semiparametric QTL Mapping II: Multiple Interacting QTLs

- The original papers on the Gaussian process (Mackay 1998; Neal 1997) did not view this method as an approach for variable selection and imposed a Gamma prior on the  $\rho_j$  parameters. However,  $\rho_j$  does provide information about the relevance of any QTL with value near zero indicating an irrelevant QTL.
- For variable selection purpose, we can impose the following mixture priors on  $\rho_j$  based on latent variable  $\gamma_j$ :
  - $P(\gamma_j = 1) = p_j$
  - $\tau_j (= 1/\rho_j) \sim (1 - \gamma_j) \text{Ga}(\frac{\alpha_0}{2}, \frac{\alpha_0}{2\mu_0}) + \gamma_j \text{Ga}(\frac{\alpha_1}{2}, \frac{\alpha_1}{2\mu_1})$

## Semiparametric QTL Mapping II: Multiple Interacting QTLs

- No closed posterior form for  $\tau_j$ s and we resort to Metropolis-Hastings algorithm
  - Direct use of MH is not very efficient for our model and it would explore region of high probability by an very inefficient random walk
  - hybrid MC method was proposed (Neal 1993,1996: Rasmussen 1996; Barber et al 1997) and we adopt this approach
  - hybrid approach merges the MH algorithm with sampling techniques called dynamic simulation based on a "energy" function
- Not computationally feasible for GWAS data where millions of genotypes available on thousands of samples
  - deterministic algorithms to replace MCMC sampling, such as conjugate gradient optimization technique for maximum-a-posterior estimates?

## Semiparametric QTL Mapping: Extensions

- A) non-genetic factors,  $\mathbf{z}_i = (z_{i1}, \dots, z_{iq})$  can be also included into  $\eta$

$$y_i = \eta(x_{i1}, \dots, x_{ip}, z_{i1}, \dots, z_{iq}) + e_i, \quad i = 1, \dots, n \text{ with } e_i \sim N(0, \sigma^2)$$

- B) longitudinal data

$$y_{ij} = \eta(x_{i1}, \dots, x_{ip}, t_{ij}) + e_{ij} \text{ with}$$

$\mathbf{e} = (e_{j1}, \dots, e_{j,k_j}) \sim N(0, \Sigma_j)$ . We have considered cases where

- $\Sigma_j$  is known up to certain parameters
- $\Sigma_j$  is unknown and modelled via the decomposition method of Chen and Dunson (2003, Biometrics)